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PTO/SB/08a (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	09/727,133
		Filing Date	NOVEMBER 29, 2000
		First Named Inventor	EMAMI, ET AL.
		Group Art Unit	1763
		Examiner Name	GEORGE E. GOUDREAU
		Attorney Docket Number	AMAT/3976.Y1/CMP/CMP/RKK
Sheet 1	of 2	Submission Date	MAY 11, 2004

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
gag	A1	US-6,709,316 B1	03/23/2004	Sun, et al.	
	A2	US-6,656,842 B2	12/02/2003	Li, et al.	
	A3	US-6,653,242 B1	11/25/2003	Sun, et al.	
	A4	US-6,593,282 B1	07/15/2003	Li, et al.	
	A5	US-6,569,349 B1	05/27/2003	Wang, et al.	
	A6	US-6,541,697 B1	04/01/2003	Georg, et al.	
	A7	US-6,524,167 B1	02/25/2003	Tsai, et al.	
	A8	US-6,348,725 B2	02/19/2002	Cheung, et al.	
	A9	US-6,274,059 B1	08/14/2001	Krusell, et al.	
	A10	US-6,156,661	12/05/2000	Small	
	A11	US-6,123,088	09/26/2000	Ho	
	A12	US-6,074,949	06/13/2000	Schonauer et al.	
	A13	US-6,046,110	04/04/2000	Hirabayashi et al.	
	A14	US-5,662,769	09/02/1997	Schonauer, et al.	
gag	A15	US-2001/0004538 A1	06/21/2001	Li, et al.	
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	A17				
	A18				

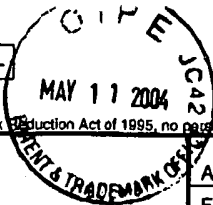
FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Country Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ² -Number ³ -Kind Code ⁴ (if known)			
gag	B1	FR 2,722,511 ✓	01/19/1996	FR	
	B2	WO 99/46353 ✓	09/16/1999	WO	
	B3				

Examiner	George Goudreau	Date Considered	8-04/
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2

of 2

Application Number	09/727,133
Filing Date	NOVEMBER 29, 2000
First Named Inventor	EMAMI, ET AL.
Group Art Unit	1763
Examiner Name	GEORGE E. GOUDREAU
Attorney Docket Number	AMAT/3976.Y1/CMP/CMP/RKK
Submission Date	MAY 11, 2004

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	
gag	C1	U.S. Patent Application for 09/163,582, filed on September 30, 1998 (AMAT/2720) US 20010052351	—
	C2	U.S. Patent Application for 09/359,141, filed on July 21, 1999 (AMAT/2720.P1) US 6,572,453	—
	C3	U.S. Patent Application for 09/569,968, filed on May 11, 2000 (AMAT/4534) US 20030022801	—
	C4	U.S. Patent Application for 09/543,777, filed April 5, 2000 (AMAT/4161) US 20020148169	—
	C5	U.S. Patent Application for 09/606,544, filed June 30, 2000 (AMAT/4048) (abandoned)	—
gag	C6	U.S. Patent Application for 09/469,709, filed December 21, 1999 (AMAT/3786) US 20010004538	—

Examiner

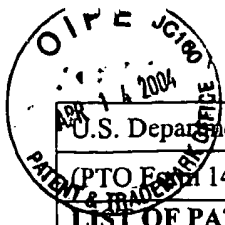
George Goudreau

Date Considered

8-041

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U.S. Department of Commerce, Patent and Trademark Office

Docket No.

Serial No.

(PTO Form 1449 modified)

APPM/3976.P1

09/727,133

LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT

Applicant
Emami et al.

Confirmation No.

(Use several sheets if necessary)

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Filing Date

Group 1763

November 29, 2000

~~Unknown~~

U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
GAG	A1	6,156,661	12-05-2000	Small	438	692	08-27-1999
	A2	6,123,088	09-26-2000	Ho	134	1.3	12-20-1999
GAG	A3	6,074,949	06-13-2000	Schonauer et al.	438	692	11-25-1998
	A4	6,046,110	04-04-2000	Hirabayashi et al.	438	693	06-04-1996
GAG	A5	5,662,769	09-02-1997	Schonauer et al.	438	633	02-21-1995
	A6	09/359,141		Brown et al.			07-21-1999
X	A7	09/163,582		Brown et al.			09-30-1998
	A8						
	A9						
	A10						
	A11						
	A12						
	A13						

Foreign Patent Documents

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GAG	B1	2,722,511	01-19-1996	FR ✓	02290	1114	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	B2	WO 99/46353	09-16-1999	PCT ✓			<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
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	B4						<input type="checkbox"/>	<input type="checkbox"/>
	B5						<input type="checkbox"/>	<input type="checkbox"/>

OTHER ART

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	C2	
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George Goudreau

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U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)		Docket No. APPM/3976.P1	Serial No. 09/727,133
SUPPLEMENTAL LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT		Applicant Emami, et al.	Confirmation No.:
(Use several sheets if necessary)		Filing Date November 29, 1999	Group 1763
Examiner Unknown			

U.S. Patent Documents

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	A1						
	A2						
	A3						
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	B2	0 620 293 A	10-19-1994	EP	0200	1000	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	B3	0 859 407 A	08-19-1998	EP	0200	1000	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
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OTHER ART

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gag	C1	EPO Search Report, for EP No. 00310488.2, dated April 18, 2001
	C2	
	C3	

Examiner George Goudreau Date Considered 8-04'

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U.S. Department of Commerce, Patent & Trademark Office		Doc. No.	Serial No.
(PTO Form 1449 modified)		APPM/3976.P1	09/727,133
LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT		Applicant Emami et al.	
(Use several sheets if necessary)		Filing Date	Confirmation No.:
Examiner UNKNOWN		11/29/00	Group 1763 UNKNOWN

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*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
GAG	A1	4,169,337	10/02/79	Payne	51	283 R	03/30/78
	A2	4,588,421	05/13/86	Payne	51	308	05/20/85
	A3	4,752,628	06/21/88	Payne	523	122	05/15/87
	A4	4,867,757	09/19/89	Payne	51	293	09/09/88
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	A6	5,614,444	03/25/97	Farkas et al.	437	225	06/06/95
	A7	5,700,383	12/23/97	Feller et al.	216	88	12/21/95
	A8	5,738,574	04/14/98	Tolles et al.	451	288	10/27/95
	A9	5,738,800	04/14/98	Hosali et al.	216	99	02/19/97
	A10	5,756,398	05/26/98	Wang et al.	438	692	03/17/97
	A11	5,769,689	06/23/98	Cossaboon et al.	451	41	02/28/96
	A12	5,840,629	11/24/98	Carpio	438	692	12/14/95
	A13	5,876,508	03/02/99	Wu et al.	134	2	03/17/97
	A14	5,911,835	06/15/99	Lee et al.	134	1.3	03/27/97
	A15	5,932,486	08/03/99	Cook et al.	438	692	08/15/97

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*Examiner Initial		Document Number	Date	Country	Class	Subclass	Filing Date	
GAG	B1	EP 0 913 442 A2	05/06/99	EP	438	692	12/14/95	12/14/95
	B2	WO 98/49723	11/05/98	WO	438	692		
	B3	WO 00/30159	05/25/00	WO	438	692		
	B4	WO 00/36037	06/22/00	WO	438	692		
	B5	WO 00/49647	08/24/00	WO	438	692		

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*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
GAG	C1	Brusic, V. et al, "Copper Corrosion With and Without Inhibitors", <i>Electrochem. Soc.</i> , 138:8, 2253-2259, August 1991.
Examiner	George Goudreau	
	Date Considered	8-04/

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09/727,133

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Emami et al.

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1763

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*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
gag	A16	5,958,794	09/28/99	Bruxvoort et al.	438	692	08/08/96
	A17	5,981,454	11/09/99	Small	510	175	02/14/97
	A18	6,033,993	03/07/00	Love, Jr. et al	438	745	09/23/97
	A19	6,042,741	03/28/00	Hosali et al.	252	79.1	03/10/98
	A20	6,054,379	04/25/00	Yau et al.	438	623	02/11/98
	A21	6,068,879	05/30/00	Pasch	427	97	08/26/97
	A22	6,117,775	09/12/00	Kondo et al	438	690	10/30/98
	A23	6,117,783	09/12/00	Small et al.	438	693	07/21/97
	A24	6,077,337	06/20/00	Lee	106	3	12/01/98
	A25	6,083,840	07/04/00	Mravic et al.	438	693	11/25/98
gag	A26	6,096,652	08/01/00	Watts et al.	438	692	11/02/97
	A27	09/247,381		Cheung et al.			02/10/99
	A28	09/401,643		Li et al.			09/22/99
	A29	09/469,708		Li et al.			12/21/99
	A30	09/543,777		Sun et al.			04/05/00
	A31	09/544,281		Sun et al.			04/06/00
	A32	09/606,544		Sun et al.			06/30/00

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Examiner	George Goudreau	Date Considered 8-041
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09/727,133

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A33	09/608,078		Sun et al.			06/30/00
A34	09/694,866		Wang et al.			10/23/00
A35	09/698,863		Tsai et al.			10/27/00
A36	09/698,864		Li et al.			10/27/00
A37	09/569,968		Sun et al.			11/12/00
A38	09/741,538		Li et al.			12/20/00
A39						
A40						
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A42						
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Foreign Patent Documents

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B12						<input type="checkbox"/>	<input type="checkbox"/>
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